

Elliptic curves with complex multiplication

§ Elliptic curves

Let k be a field

Definition: 1) An abelian variety is a connected smooth proper group scheme over k
2) An elliptic curve is an abelian variety of dimension 1.

Theorem 1: Let E be a scheme over k . The following are equivalent:

- 1) E is an elliptic curve;
- 2) E is a connected smooth projective curve of genus 1 with a fixed k -rational point;
- 3) E is a smooth projective plane cubic curve given by a Weierstrass equation $y^2 + a_1xy + a_2y = x^3 + c_1x^2 + c_2x + c_3$ with $a_i, c_i \in k$ (in affine coordinates)
($y^2 = x^3 + Ax + B$ with $A, B \in k$ if $\text{char } k \neq 2, 3$)

We cannot go through the details of the proof, but it is worth making some remarks.

Remark: a) In (2), the genus of E is $g = \dim H^1(E, \mathcal{O}_E)$. Since E is smooth, this is both the arithmetic and the geometric genus.

b) A surprising feature of Theorem 1 is that point (2) and (3) do not mention the group structure at all. This rests on the following fact: if X is an abelian variety and $x \in X(k)$, there is a unique group scheme structure on the underlying scheme of X which has x as the identity element.

In point (2), this result allows one to give a unique group scheme structure to a connected smooth proper scheme of genus 1.

c) Let us see how the group structure works in (2) and (3). For simplicity, we assume that k is algebraically closed.

\Rightarrow Let E be a connected smooth projective curve of genus one with a fixed k -rational point $P_0 \in E(k)$. We denote by $\text{Pic}(E)$ the Picard group of E (line bundles of E up to isomorphism, multiplication given by tensor product of line bundles) and by $\text{Cl}(E)$ the class group of E (free abelian group generated by the set of k -points of E , modulo linear equivalence). Since E is smooth, we have

an isomorphism $\mathcal{O}(E) \xrightarrow{\sim} \text{Pic}(E)$, $D \mapsto \mathcal{O}(D)$. Let $\text{Pic}^0 X$ be the subgroup of $\text{Pic} X$ corresponding to divisors of degree zero under this isomorphism. Then we have a bijection $E(k) \rightarrow \text{Pic}^0(E)$, $[P] \mapsto \mathcal{O}([P] - [P_0])$. This allows to give a group structure to $E(k)$. For k algebraically closed, this is enough to turn E into a group scheme.

↪ Let E be a smooth projective cubic curve given by a Weierstrass equation. Once we have fixed coordinates so that E is defined by the equation $y^2 + axy + by = x^3 + cx^2 + dx + e$, E intersects the hyperplane at infinity in a unique point P_0 , which will be the identity of the group structure.

Given two points $P, Q \in E(k)$, let L be the projective line in \mathbb{P}^2 passing through P and Q (if $P=Q$, we take the line tangent to E at P). By Bezout's theorem, L intersects E at precisely one point, say R . We repeat the same procedure with R and P_0 to obtain another point, which we call $P+Q$. The assignment $E(k) \times E(k) \rightarrow E(k)$, $(P, Q) \mapsto P+Q$ gives a group structure to $E(k)$.

d) The group scheme structure on E is automatically abelian. This is true for all abelian varieties.

e) The implication 1) \Rightarrow 2) shows that an elliptic curve is always projective. This is also a feature of all abelian varieties.

Let us assume that k is algebraically closed. It is then possible to classify elliptic curves via the so-called "j-invariant".

For an effective Weil divisor D over E we define the "complete linear system" attached to D to be the set of Weil divisors over E which are linearly equivalent to D . This set is denoted by $|D|$ and it is in bijection with $(H^0(E, \mathcal{O}(D)) \setminus \{0\}) / k^\times$.

Fix a point $P_0 \in E(k)$ and consider the divisor $D = 2 \cdot [P_0]$. Since $\deg D = 2 > 0 = 2g - 2$, by Riemann-Roch it follows that $|D|$ has dimension 1. Since $\deg D = 2 = 2 = 2g$, $|D|$ has no base point (which means that $\mathcal{O}(D)$ is generated by global sections). Putting this together, $\dim H^0(E, \mathcal{O}(D)) = 2$ and two linearly

independent global sections, say σ_1, σ_2 , yield a well-defined map $f: E \rightarrow \mathbb{P}^1_k$, $x \mapsto [\sigma_1(x), \sigma_2(x)]$ of degree 2.

By Hurwitz's theorem, f is ramified at exactly four points, P_0 being one of them. Since the automorphisms of \mathbb{P}^1 act triply transitively on \mathbb{P}^1 , we can assume that $f(P_0) = \infty$ and that two of the other branch points are sent to 0 and 1 via f . Let $\lambda \in \mathbb{P}^1(k)$ be the fourth branch point of f after applying this automorphism.

We define the j -invariant of E by

$$j(E) := 2^8 \frac{(\lambda^2 - \lambda + 1)^3}{\lambda^2 (\lambda - 1)^2}$$

Remark: If we start with E defined as a plane cubic curve given by the equation

$$y^2 = x^3 + Ax + B \quad (\text{for char } k \neq 2, 3), \text{ then we may as well define}$$

$$j(E) = 2^8 \cdot 3^3 \cdot \frac{A^3}{4A^3 + 27B^2}. \quad \text{The fact that } 4A^3 + 27B^2 \neq 0 \text{ follows from smoothness.}$$

Theorem 2: Let k be algebraically closed, $\text{char } k \neq 2$.

1) $j(E)$ only depends on E ;

2) two elliptic curves E and E' are isomorphic if and only if $j(E) = j(E')$;

3) every element of k occurs as the j -invariant of an elliptic curve.

In other words, the affine line \mathbb{A}^1_k is a "moduli space for elliptic curves" via the assignment $E \mapsto j(E)$.

If $k = \mathbb{C}$, we can further describe the complex points of an elliptic curve as a 1-dimensional complex torus. Let us briefly recall how this works.

↪ Via the exponential map.

Let G be any connected commutative algebraic group over \mathbb{C} (which is automatically smooth). Its complex points can be given the structure of a complex manifold, which can be further enhanced to a complex Lie group exploiting the group scheme structure on G .

Let \mathfrak{g}_G be the tangent space of $G(\mathbb{C})$ at the identity $0 \in G(\mathbb{C})$. This has the structure of a Lie algebra, but, since G is commutative, its Lie brackets are trivial. This means that for our purposes, we can regard

$\mathfrak{g}_{\mathbb{C}}$ simply as a \mathbb{C} -vector space. We can then consider $\mathfrak{g}_{\mathbb{C}}$ as a complex Lie group via the natural Lie group structure on \mathbb{C}^m .

There exists a homomorphism of complex Lie groups $\exp_{\mathbb{C}}: \mathfrak{g}_{\mathbb{C}} \rightarrow G(\mathbb{C})$, called "exponential map". Roughly speaking, given a vector $v \in \mathfrak{g}_{\mathbb{C}}$, one can construct a unique analytic homomorphism $\varphi_v: \mathbb{C} \rightarrow G(\mathbb{C})$ such that $(d\varphi_v)_0 \left(\frac{d}{dz} \right) = v$, and then one sets $\exp_{\mathbb{C}}(v) = \varphi_v(1)$.

The exponential map has the property to fit into a short exact sequence $0 \rightarrow \Lambda \rightarrow \mathfrak{g}_{\mathbb{C}} \rightarrow G(\mathbb{C}) \rightarrow 0$ of abelian groups, where Λ is discrete and $\Lambda \cong H_1^{\text{sing}}(G(\mathbb{C}), \mathbb{Z})$.

If we specialize this to the case of $G = E$ an elliptic curve, then $\dim_{\mathbb{C}} \mathfrak{g}_{\mathbb{C}} = 2$ and Λ is a free abelian group of rank 2. Thus, Λ is a lattice in \mathbb{C} and $E(\mathbb{C}) \cong \mathbb{C}/\Lambda$. It can be shown that for any lattice Λ in \mathbb{C} , the torus \mathbb{C}/Λ arises as the complex points of an elliptic curve.

If $G = X$ is an abelian variety, one similarly gets $X(\mathbb{C}) \cong \mathbb{C}^n/\Lambda$ where $n = \dim X$ and $\Lambda \subseteq \mathbb{C}^n$ is a lattice. However, for $n > 1$ not all lattices arise in this way.

↪ Via elliptic functions.

Let $\Lambda \subseteq \mathbb{C}$ be a lattice, say $\Lambda = \mathbb{Z}\omega_1 \oplus \mathbb{Z}\omega_2$. An "elliptic function" with respect to Λ is a meromorphic function f on \mathbb{C} such that $f(z+\omega) = f(z)$ for all $\omega \in \Lambda$. Thus, an elliptic function defines a meromorphic function $f: \mathbb{C}/\Lambda \rightarrow \mathbb{C}$.

Weierstrass \wp -function: $\wp(z) = \frac{1}{z^2} + \sum_{\omega \in \Lambda \setminus \{0\}} \left(\frac{1}{(z-\omega)^2} - \frac{1}{\omega^2} \right)$ (double poles at $\omega \in \Lambda$)

\wp satisfies $(\wp')^2 = 4\wp^3 - g_2\wp - g_3$ with $g_2 = 60 \sum_{\omega \in \Lambda \setminus \{0\}} \frac{1}{\omega^4}$ and $g_3 = 140 \sum_{\omega \in \Lambda \setminus \{0\}} \frac{1}{\omega^6}$.

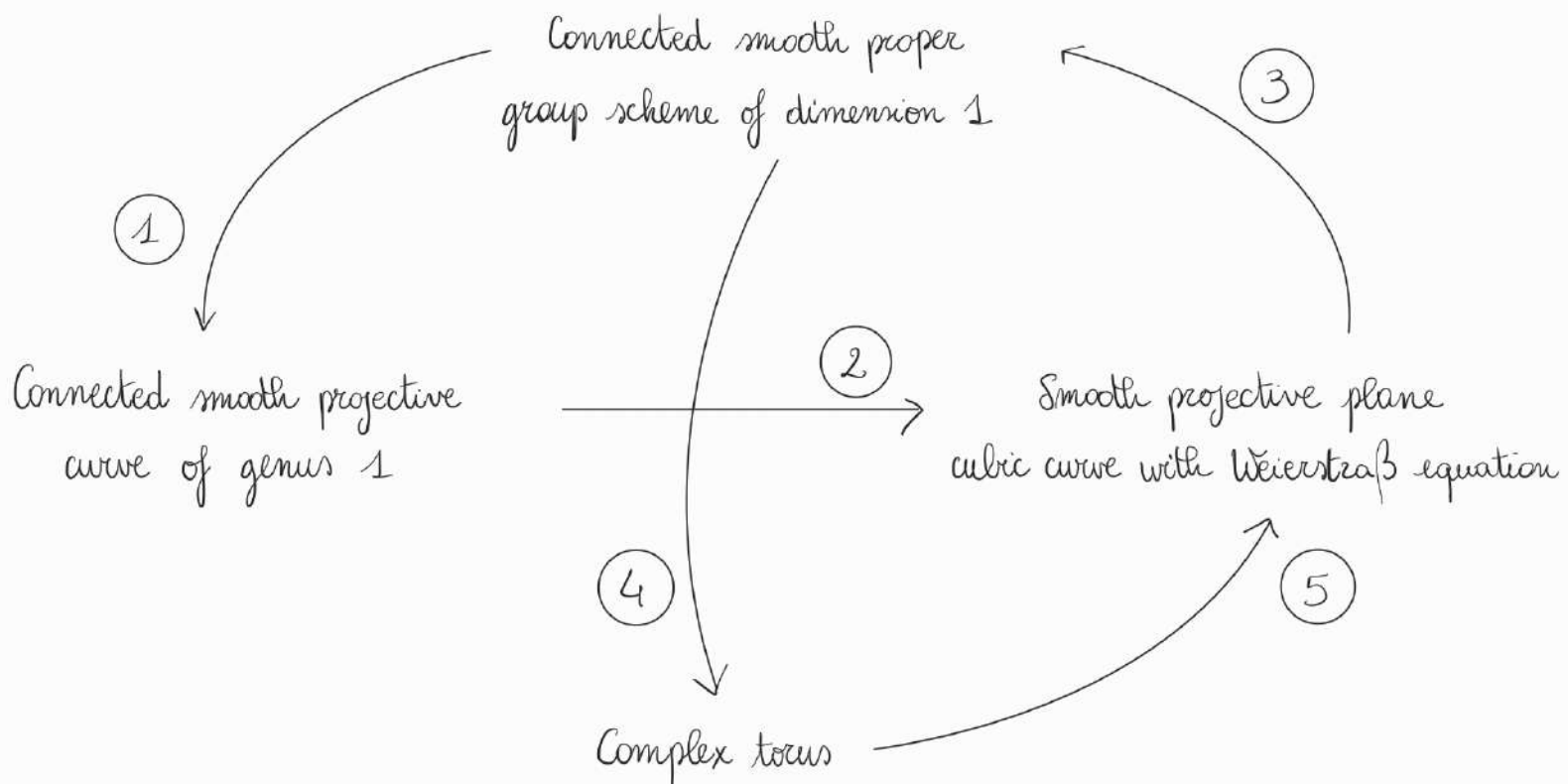
The \mathbb{C} -algebra of elliptic functions for Λ is $\mathbb{C}(\wp, \wp')$.

The map $\mathbb{C} \rightarrow \mathbb{P}_{\mathbb{C}}^2$, $z \mapsto [\wp(z) : \wp'(z) : 1]$ has image lying in the (smooth) curve with equation $y^2 = 4x^3 - g_2x - g_3$, which makes up the complex points of an elliptic curve E . This map factors through Λ , and it induces an isomorphism $\mathbb{C}/\Lambda \cong E(\mathbb{C})$, which also respects the group structure.

If we start from an arbitrary elliptic curve E , we can recover Λ by taking the periods $\omega_1 = \int_{\gamma_1} \frac{dx}{y}$, $\omega_2 = \int_{\gamma_2} \frac{dx}{y}$, where γ_1, γ_2 are two loops generating $H_1^{\text{sing}}(E(\mathbb{C}), \mathbb{Z})$.

In particular, if E is an elliptic curve over \mathbb{C} , the abstract group $E(\mathbb{C})$ is isomorphic to $\mathbb{R}/\mathbb{Z} \times \mathbb{R}/\mathbb{Z}$. In particular, the torsion points of $E(\mathbb{C})$ are $E[m] = \mathbb{Z}/m\mathbb{Z} \times \mathbb{Z}/m\mathbb{Z}$.

We can give an overview of the several characterizations of elliptic curves.



(1) For the genus: one shows that $\Omega_{E/k}^1 \cong \mathcal{O}_E$ (which implies that the canonical divisor is 0, so the genus is 1 by Riemann-Roch). To prove this, the group structure gives translation maps, which are automorphisms of E . An element ω of the stalk of $\Omega_{E/k}$ at a point $x \in X$ can be used to define a global section ω of Ω_E using translations and one shows that $\Omega_{E/k}^1 \cong \mathcal{O}_E \cdot \omega$.

For projectivity: fix $P_0 \in E(k)$ and consider the divisor $D = 3[P_0]$. Then by Riemann-Roch $\dim H^0(E, \mathcal{O}(D)) = \deg D = 3$ and D is base-point free. This allows one to write a map $E \rightarrow \mathbb{P}_k^2$, which then is proved to be an embedding.

(2) One constructs the embedding of the previous point and then considers $D' = 6[P_0]$. Writing $H^0(E, \mathcal{O}(2[P_0])) = \text{Span}_k \langle 1, x \rangle$ and $H^0(E, \mathcal{O}(3[P_0])) = \text{Span}_k \langle 1, x, y \rangle$, one sees that $1, x, x^3, y, y^2, xy, x^2y \in H^0(E, \mathcal{O}(6[P_0]))$, which has dimension 6. There must be a relation among these sections, and the rest of the computations are classical.

- ③ One writes down the group law explicitly as we have already seen.
- ④ Via the exponential map.
- ⑤ Via elliptic functions.

Let us now have a look at the endomorphism ring of an elliptic curve. We allow k to be any field. If E is an elliptic curve over k , we define its endomorphism ring to be $\text{End}(E) = \{ f: E \rightarrow E \mid f \text{ is a morphism of group schemes} \}$.

Equivalently, $\text{End}(E)$ consists of the morphisms $f: E \rightarrow E$ of k -schemes such that $f(0) = 0$ (and the multiplication is automatically preserved).

For every $n \in \mathbb{Z}$, there is a multiplication-by- n map $[n]: E \rightarrow E$. In this way, we get a morphism $\mathbb{Z} \rightarrow \text{End}(E)$.

Proposition 3: 1) $\text{End}(E)$ has characteristic 0, it is an integral domain and has rank at most four as a \mathbb{Z} -module;

2) $\text{End}(E)$ has an involution $\varphi \mapsto \hat{\varphi}$;

3) For all $\varphi \in \text{End}(E)$, $\varphi \hat{\varphi} \in \mathbb{Z}$ and $\varphi \hat{\varphi} = 0$ if and only if $\varphi = 0$.

Proposition 4: Any ring R with properties (1), (2) and (3) above satisfies one and only one of the following:

1) $R = \mathbb{Z}$;

2) R is an order in an imaginary quadratic field

3) R is an order in a quaternion algebra over \mathbb{Q} .

In order to better understand Proposition 4, let us recall a few definitions.

Definition: Let A be a (not necessarily commutative) \mathbb{Q} -algebra which is finitely generated over \mathbb{Q} . A subring R of A is an "order" if R is finitely generated as a \mathbb{Z} -module and $R \otimes_{\mathbb{Z}} \mathbb{Q} = A$.

Definition: A quaternion algebra over \mathbb{Q} is a \mathbb{Q} -algebra A of dimension 4 as a \mathbb{Q} -vector space having a \mathbb{Q} -basis of the form $\{1, \alpha, \beta, \alpha\beta\}$ for $\alpha, \beta \in A$ such that $\alpha^2, \beta^2 \in \mathbb{Q}$, $\alpha^2 < 0$, $\beta^2 < 0$ and $\alpha\beta = -\beta\alpha$.

Let us now go through some consequences of Proposition 4. We divide the study of $\text{End}(E)$ according to the characteristic of k . Let \bar{k} be a fixed algebraic closure of k .

\Rightarrow If $\text{char } k = 0$, it is not difficult to prove that $\text{End}(E)$ is a commutative ring. To give an idea of how this goes, let $\omega \in \Omega_{E_{\bar{k}}/\bar{k}}$ be a non-zero invariant differential form. Since $\Omega_{E_{\bar{k}}/\bar{k}} = \bar{k}(E_{\bar{k}}) \cdot \omega$ for every $\varphi \in \text{End}(E)$ there is $a_\varphi \in \bar{k}(E_{\bar{k}})$ such that $\varphi^* \omega = a_\varphi \cdot \omega$. But $\text{div}(a_\varphi) = \text{div}(\varphi^* \omega) - \text{div}(\omega) = 0$, since $\text{div}(\omega) = 0$. It follows that $a_\varphi \in \bar{k}$. The assignment $\varphi \mapsto a_\varphi$ can then be checked to induce an embedding $\text{End}(E) \hookrightarrow \bar{k}$.

Together with Proposition 4, this fact implies that $\text{End}(E)$ cannot be an order in a quaternion algebra over \mathbb{Q} , but it can only be \mathbb{Z} or an order in an imaginary quadratic field.

If $\text{End}(E) \neq \mathbb{Z}$, we say that E has "complex multiplication".

\Rightarrow If $\text{char } k = p > 0$, the isomorphism type of $\text{End}(E)$ is strictly connected to the p -torsion points of E . If $m \in \mathbb{Z}$ and $p \nmid m$, then $E[m] \cong \mathbb{Z}/m\mathbb{Z} \times \mathbb{Z}/m\mathbb{Z}$. Otherwise, $E[p^n]$ can be either 0 or $\mathbb{Z}/p^n\mathbb{Z}$. For $\text{End}(E)$ we have the following possibilities:

-) $\text{End}(E)$ is an order in a quaternion algebra over \mathbb{Q} if and only if $E[p^n] = 0$ for some (equivalently, for all) $n \geq 1$. In this case, $j(E) \in \mathbb{F}_p^*$.
-) $\text{End}(E)$ is a quadratic imaginary field if and only if $E[p^n] \cong \mathbb{Z}/p^n\mathbb{Z}$ for all $n \geq 1$ and $j(E)$ is algebraic over \mathbb{F}_p .
-) $\text{End}(E) = \mathbb{Z}$ if and only if $E[p^n] \cong \mathbb{Z}/p^n\mathbb{Z}$ for all $n \geq 1$ and $j(E)$ is transcendental over \mathbb{F}_p .

§ Complex multiplication

Let E be an elliptic curve over \mathbb{C} . As we have seen, there is a lattice $\Lambda = \mathbb{Z}\omega_1 \oplus \mathbb{Z}\omega_2 \subseteq \mathbb{C}$ such that $E(\mathbb{C}) \cong \mathbb{C}/\Lambda$ as Lie groups.

Remark: Suppose we are given two lattices $\Lambda_1, \Lambda_2 \subseteq \mathbb{C}$. Of course there is an \mathbb{R} -linear map $\varphi: \mathbb{C} \rightarrow \mathbb{C}$ such that $\varphi(\Lambda_1) = \Lambda_2$. This gives a bijection $\varphi: \mathbb{C}/\Lambda_1 \rightarrow \mathbb{C}/\Lambda_2$. Notice that this bijection is a diffeomorphism of 2-dimensional real manifolds, but it is not a holomorphic map. Thus, φ is not an isomorphism of Lie groups.

It can be checked that every $\varphi \in \text{End}(E)$ acts on \mathbb{C}/Λ (under the isomorphism $E(\mathbb{C}) \cong \mathbb{C}/\Lambda$) as multiplication by some complex number $\alpha_\varphi \in \mathbb{C}$ satisfying $\alpha_\varphi \cdot \Lambda \subseteq \Lambda$. Conversely, every such number gives an endomorphism of E .

Hence, we have a bijection $\text{End}(E) \cong \{ \alpha \in \mathbb{C} \mid \alpha \Lambda \subseteq \Lambda \}$.

This gives an embedding $\text{End}(E) \hookrightarrow \mathbb{C}$, i.e. we can see $\text{End}(E)$ as a discrete subring of \mathbb{C} . Any discrete subring of \mathbb{C} is either \mathbb{Z} or an order in a quadratic imaginary extension of \mathbb{Q} .

We have already seen this characterization, but this is a faster way to reach it when E is defined over \mathbb{C} using the isomorphism $E(\mathbb{C}) \cong \mathbb{C}/\Lambda$.

Assume now that E has complex multiplication, i.e. $\text{End}(E) \cong \mathcal{O}$ where \mathcal{O} is an order in a quadratic imaginary field K .

Recall that the ring of integers \mathcal{O}_K of K is of the form $\mathcal{O}_K = \mathbb{Z} \oplus \mathbb{Z}\alpha$ where $\alpha = \frac{1+\sqrt{D}}{2}$ or $\alpha = \frac{\sqrt{D}}{2}$ where D is the discriminant of K . Thus, there is $f \in \mathbb{Z}$ such that

$\mathcal{O} = \mathbb{Z} \oplus \mathbb{Z}f\alpha$, and f is called "conductor" of \mathcal{O} . For convenience, we fix an embedding of K into \mathbb{C} .

Lemma 5: \mathcal{O} is a Noetherian ring of dimension 1. However, \mathcal{O} is not normal, as its integral closure in $K = \text{Frac}(\mathcal{O})$ is \mathcal{O}_K .

We focus on the study of fractional ideals of \mathcal{O} (see Cox, "Primes of the form $x^2 + ny^2$ ")

Lemma 6: Let Λ be an \mathcal{O} -module. The following are equivalent:

1) Λ is projective;

2) Λ is isomorphic to a fractional ideal of \mathcal{O} (i.e., an invertible \mathcal{O} -submodule of K)

Moreover, if (1) or (2) holds, then Λ has rank 1 if and only if it is isomorphic to a proper fractional ideal of \mathcal{O} (i.e. $\{z \in \Lambda \mid z\Lambda \subseteq \Lambda\} = \mathcal{O}$).

Definition: The Picard group of \mathcal{O} is

$$\text{Pic}(\mathcal{O}) = \{ \text{Projective } \mathcal{O}\text{-modules of rank 1} \} / \text{iso.}$$

This is a group with product given by tensor product of modules.

We have $\text{Pic}(\mathcal{O}) \cong \{ \text{proper fractional ideals of } \mathcal{O} \} / \{ \text{principal ideals of } \mathcal{O} \}$

For $\mathcal{O} = \mathcal{O}_K$, $\text{Pic}(\mathcal{O}_K)$ recovers the notion of class group of K .

Definition: Let \mathfrak{a} be an ideal of \mathcal{O} . We define its norm to be $N(\mathfrak{a}) = |\mathcal{O} : \mathfrak{a}|$

(the index of \mathfrak{a} as an additive subgroup of \mathcal{O}).

It can be seen that the norm is always finite and multiplicative.

Lemma 7: Let \mathfrak{a} be an ideal of \mathcal{O} . The following are equivalent:

1) $\mathfrak{a} + \mathfrak{f}\mathcal{O} = \mathcal{O}$;

2) $N(\mathfrak{a})$ is coprime to the conductor \mathfrak{f} of \mathcal{O} .

If an ideal \mathfrak{a} of \mathcal{O} satisfies one of the two equivalent conditions in the last lemma, we say that \mathfrak{a} is "prime to \mathfrak{f} ". It is not difficult to check that ideals prime to \mathfrak{f} are proper, so they determine an element of $\text{Pic}(\mathcal{O})$.

Lemma 8: Let $I(\mathcal{O}) = \{\text{proper fractional ideals of } \mathcal{O}\}$, $P(\mathcal{O}) = \{\text{proper principal ideals of } \mathcal{O}\}$

Let $I_{\mathfrak{f}}(\mathcal{O})$ be the subgroup of $I(\mathcal{O})$ generated by ideals of \mathcal{O} prime to \mathfrak{f} and $P_{\mathfrak{f}}(\mathcal{O}) = I_{\mathfrak{f}}(\mathcal{O}) \cap P(\mathcal{O})$.

Then the natural inclusion $I_{\mathfrak{f}}(\mathcal{O}) \hookrightarrow I(\mathcal{O})$ induces an isomorphism

$$\text{Pic}(\mathcal{O}) = I(\mathcal{O})/P(\mathcal{O}) \cong I_{\mathfrak{f}}(\mathcal{O})/P_{\mathfrak{f}}(\mathcal{O}).$$

Thus, $\text{Pic}(\mathcal{O})$ is generated by the classes of ideals of \mathcal{O} prime to \mathfrak{f} . These ideals can be related to ideals of the maximal order \mathcal{O}_K with the same property.

Lemma 9: There is an isomorphism $I_{\mathfrak{f}}(\mathcal{O}_K) \xrightarrow{\sim} I_{\mathfrak{f}}(\mathcal{O})$, $\mathfrak{b} \mapsto \mathfrak{b} \cap \mathcal{O}$ with inverse given by $\mathfrak{a} \mathcal{O}_K \longleftarrow \mathfrak{a}$.

Let now $P_{\mathfrak{f}}(\mathcal{O}_K)$ be the subgroup of $P(\mathcal{O}_K)$ generated by principal ideals of the form $z\mathcal{O}_K$ where $z \equiv a \pmod{\mathfrak{f}\mathcal{O}_K}$ for some $a \in \mathbb{Z}$ with $\gcd(a, \mathfrak{f}) = 1$.

Corollary 10: $\text{Pic}(\mathcal{O}) \cong I_{\mathfrak{f}}(\mathcal{O}_K)/P_{\mathfrak{f}}(\mathcal{O}_K)$.

By working out some more details, it is possible to deduce from the finiteness of $\text{Pic}(\mathcal{O}_K)$ that $\text{Pic}(\mathcal{O})$ is finite as well. Moreover, Corollary 10 implies that ideals of \mathcal{O} prime to \mathfrak{f} factor uniquely as products of prime ideals of \mathcal{O} prime to \mathfrak{f} .

Let Λ_E be the period lattice of E , which defines an isomorphism $E(\mathbb{C}) \cong \mathbb{C}/\Lambda_E$. Λ_E is a projective $\mathcal{O} = \text{End}(E)$ -module of rank 1, whose isomorphism class only depends on the isomorphism class of E . Indeed, if we write $\Lambda_E = \mathbb{Z}\omega_1 \oplus \mathbb{Z}\omega_2$ for $\omega_1, \omega_2 \in \mathbb{C}$, then $\Lambda_E \cong \Lambda$ where $\Lambda = \mathbb{Z} \oplus \mathbb{Z} \frac{\omega_2}{\omega_1}$. Since $\mathcal{O}\Lambda \subseteq \Lambda$, $\alpha \mathfrak{f} \cdot 1 = n_1 + n_2 \frac{\omega_2}{\omega_1}$ for $n_1, n_2 \in \mathbb{Z}$, which shows that $\frac{\omega_2}{\omega_1} \in K$. It follows that Λ is a proper fractional ideal of \mathcal{O} .

Conversely, given a projective \mathcal{O} -module $\Lambda \subseteq \mathbb{C}$ of rank 1, the torus \mathbb{C}/Λ has complex multiplication in \mathcal{O} .

This proves the following:

Proposition 11: The assignment $E \mapsto \Lambda_E$ yields a bijection

$$\left\{ \begin{array}{l} \text{Elliptic curves with} \\ \text{CM by } \mathcal{O} \end{array} \right\} / \text{iso} \xrightarrow{\sim} \left\{ \begin{array}{l} \text{Projective } \mathcal{O}\text{-modules} \\ \text{of rank 1} \end{array} \right\} / \text{iso} = \text{Pic}(\mathcal{O})$$

Since $\text{Pic}(\mathcal{O})$ is finite, it follows that there are only finitely many complex elliptic curves with complex multiplication by \mathcal{O} .

Corollary 12: If E is a complex elliptic curve with complex multiplication by \mathcal{O} , its j -invariant $j(E)$ is an algebraic number.

Proof: Using the classical definition via a Weierstrass equation, E is isomorphic to the curve with equation $y^2 + xy = x^3 + \frac{36}{j(E)-1728}x - \frac{1}{j(E)-1728}$ in affine coordinates.

Suppose that $j(E)$ is transcendental. Then we have infinitely many morphisms $\varphi: \mathbb{Q}(j(E)) \hookrightarrow \mathbb{C}$. The curve E_φ given by the equation $y^2 + xy = x^3 + \frac{1}{\varphi(j(E)-1728)}x - \frac{1}{\varphi(j(E)-1728)}$ still has complex multiplication by \mathcal{O} , but if $\varphi(j(E)) \neq j(E)$ then E_φ is not isomorphic to E . This contradicts the finiteness of $\text{Pic}(\mathcal{O})$. \square

Let $\text{Ell}(\mathcal{O})$ denote the set of elliptic curves with complex multiplication by \mathcal{O} . We have just seen that $\text{Ell}(\mathcal{O})$ is in bijection with $\text{Pic}(\mathcal{O})$.

There is a natural simply transitive action of $\text{Pic}(\mathcal{O})$ on $\text{Ell}(\mathcal{O})$, which we now describe.

Let $E \in \text{Ell}(\mathcal{O})$ and $\Lambda \in \text{Pic}(\mathcal{O})$. Consider a free resolution $\mathcal{O}^m \xrightarrow{\mathbb{Z}} \mathcal{O}^n \rightarrow \Lambda \rightarrow 0$.

If $\Lambda = 0$, we define $\text{Hom}(\Lambda, E)$ to be E . Otherwise, \mathbb{Z} yields an $m \times n$ matrix with coefficients in $\mathcal{O} = \text{End}(E)$. Its transpose therefore defines a map ${}^t\mathbb{Z}: E^m \rightarrow E^n$.

More precisely, by applying $\text{Hom}_{\mathcal{O}}(-, E(\mathbb{C}))$ we obtain a map ${}^t\mathbb{Z}: E(\mathbb{C})^m \rightarrow E(\mathbb{C})^n$ which can be upgraded to a map ${}^t\mathbb{Z}: E^m \rightarrow E^n$ of group schemes since ${}^t\mathbb{Z}$ has entries in $\mathcal{O} \cong \text{End}(E)$. We then define $\text{Hom}(\Lambda, E)$ to be the identity component of the kernel of ${}^t\mathbb{Z}$. It can be checked that $\text{Hom}(\Lambda, E)$ is a complex elliptic curve with complex multiplication by \mathcal{O} , so $\text{Hom}(\Lambda, E) \in \text{Ell}(\mathcal{O})$.

The map $\text{Pic}(\mathcal{O}) \times \text{Ell}(\mathcal{O}) \rightarrow \text{Ell}(\mathcal{O})$, $(\Lambda, E) \mapsto \text{Hom}(\Lambda, E)$ defines a simply transitive action of $\text{Pic}(\mathcal{O})$ on $\text{Ell}(\mathcal{O})$.

We can give a more explicit description of this action

Claim: Under the identification $\text{Ell}(\mathcal{O}) \cong \text{Pic}(\mathcal{O})$, the action of $\text{Pic}(\mathcal{O})$ on $\text{Ell}(\mathcal{O})$ coincides with the inverse left multiplication on $\text{Pic}(\mathcal{O})$ (i.e. $\text{Ell}(\mathcal{O}) \rightarrow \text{Pic}(\mathcal{O})$ maps $\text{Hom}(\Lambda, E)$ to $\Lambda^{-1}\Lambda_E$).

In order to show that these two actions coincide, it is enough to check this for the classes of prime ideals \mathfrak{p} of \mathcal{O} coprime to f , as these generate $\text{Pic}(\mathcal{O})$.

We have an exact sequence of \mathcal{O} -modules $0 \rightarrow \Lambda_E \rightarrow \mathbb{C} \rightarrow E(\mathbb{C}) \rightarrow 0$.

By applying $\text{Hom}_{\mathcal{O}\text{-mod}}(-, -)$ to the sequences $\mathcal{O}^m \xrightarrow{\zeta} \mathcal{O}^m \rightarrow \mathfrak{p} \rightarrow 0$ and $0 \rightarrow \Lambda_E \rightarrow \mathbb{C} \rightarrow E(\mathbb{C}) \rightarrow 0$ we obtain a diagram

$$\begin{array}{ccccc} & 0 & & 0 & & 0 \\ & \downarrow & & \downarrow & & \downarrow \\ 0 & \rightarrow & \text{Hom}(\mathfrak{p}, \Lambda_E) & \rightarrow & \text{Hom}(\mathfrak{p}, \mathbb{C}) & \rightarrow & \text{Hom}(\mathfrak{p}, E(\mathbb{C})) \\ & & \downarrow & & \downarrow & & \downarrow \\ 0 & \rightarrow & \text{Hom}(\mathcal{O}^m, \Lambda_E) & \rightarrow & \text{Hom}(\mathcal{O}^m, \mathbb{C}) & \rightarrow & \text{Hom}(\mathcal{O}^m, E(\mathbb{C})) \\ & & \downarrow & & \downarrow & & \downarrow \\ 0 & \rightarrow & \text{Hom}(\mathcal{O}^m, \Lambda_E) & \rightarrow & \text{Hom}(\mathcal{O}^m, \mathbb{C}) & \rightarrow & \text{Hom}(\mathcal{O}^m, E(\mathbb{C})) \end{array}$$

Now observe that:

- 1) $\text{Hom}(\mathcal{O}^r, M) \cong M^r$ for every \mathcal{O} -module M and $r \geq 1$.
- 2) for every torsion-free \mathcal{O} -module M , the map $\mathfrak{p}^{-1}M \rightarrow \text{Hom}(\mathfrak{p}, M)$, $m \mapsto (x \mapsto x \cdot m)$ is an isomorphism. This is a standard check with commutative algebra.

The above diagram becomes:

$$\begin{array}{ccccccc} & 0 & & 0 & & 0 & \\ & \downarrow & & \downarrow & & \downarrow & \\ 0 & \rightarrow & \mathfrak{p}^{-1}\Lambda_E & \rightarrow & \mathbb{C} & \rightarrow & \text{Hom}(\mathfrak{p}, E(\mathbb{C})) \\ & & \downarrow & & \downarrow & & \downarrow \\ 0 & \rightarrow & \Lambda_E^m & \rightarrow & \mathbb{C}^m & \longrightarrow & E(\mathbb{C})^m \rightarrow 0 \\ & & \downarrow \iota_{\mathbb{Z}} & & \downarrow \iota_{\mathbb{Z}} & & \downarrow \iota_{\mathbb{Z}} \\ 0 & \rightarrow & \Lambda_E^m & \rightarrow & \mathbb{C}^m & \longrightarrow & E(\mathbb{C})^m \rightarrow 0 \end{array}$$

By applying the snake lemma to the bottom rows, we obtain an exact sequence

$$0 \rightarrow \mathfrak{p}^{-1}\Lambda_E \rightarrow \mathbb{C} \rightarrow \text{Hom}(\mathfrak{p}, E(\mathbb{C})) \rightarrow \Lambda_E^m / \iota_{\mathbb{Z}}(\Lambda_E^m),$$

which hence gives an exact sequence $0 \rightarrow \mathbb{C}/\mathfrak{p}^{-1}\Lambda_E \rightarrow \text{Hom}(\mathfrak{p}, E(\mathbb{C})) \rightarrow \Lambda_E^m / \iota_{\mathbb{Z}}(\Lambda_E^m)$.

Now, $\text{Hom}(p, E(\mathbb{C})) = \ker({}^t\mathcal{Z} : E(\mathbb{C})^n \rightarrow E(\mathbb{C})^m)$. Since ${}^t\mathcal{Z} \in M_{m \times n}(\mathcal{O})$ has entries in $\mathcal{O} \cong \text{End}(E)$, we can realize ${}^t\mathcal{Z}$ as a map of group schemes ${}^t\mathcal{Z} : E^n \rightarrow E^m$. Hence, $\text{Hom}(p, E(\mathbb{C}))$ can be thought of as the complex points of the group scheme $\ker({}^t\mathcal{Z} : E^n \rightarrow E^m)$. Let $\text{Hom}(p, E)$ denote the identity component of this group scheme (which is the definition of the action of p on E).

Using the fact that $\mathbb{C}/p^{-1}\Lambda_E$ is connected, while $\Lambda_E^n / {}^t\mathcal{Z}(\Lambda_E^n)$ is discrete, one sees that $\mathbb{C}/p^{-1}\Lambda_E = \text{Hom}(p, E)(\mathbb{C})$ (i.e., the complex points of the identity component of $\text{Hom}(p, E)$). This implies that the two actions agree.

If E is any elliptic curve over \mathbb{C} and $\sigma : \mathbb{C} \rightarrow \mathbb{C}$ is any field automorphism, we define the elliptic curve E^σ to be $E \rightarrow \text{Spec } \mathbb{C} \xrightarrow{\sigma} \text{Spec } \mathbb{C}$. It is clear that $\text{End}(E^\sigma) \cong \text{End}(E)$. If $E \in \text{Ell}(\mathcal{O})$, then we obtain an action of $\text{Gal}(\bar{K}/K)$ on $\text{Ell}(\mathcal{O})$.

Lemma 13: The actions of $\text{Gal}(\bar{K}/K)$ and $\text{Pic}(\mathcal{O})$ on $\text{Ell}(\mathcal{O})$ commute.

Proof: For $\Lambda \in \text{Pic}(\mathcal{O})$ and $\sigma \in \text{Gal}(\bar{K}/K)$

$$\text{Hom}(\Lambda, E)^\sigma = (\ker({}^t\mathcal{Z} : E^n \rightarrow E^m)^\circ)^\sigma = \ker({}^t\mathcal{Z}^\sigma : (E^\sigma)^n \rightarrow (E^\sigma)^m)^\circ = \text{Hom}(\Lambda^\sigma, E^\sigma).$$

Since $\sigma \in \text{Gal}(\bar{K}/K)$, $\Lambda^\sigma = \Lambda$, hence $\text{Hom}(\Lambda, E)^\sigma = \text{Hom}(\Lambda, E^\sigma)$. \square

Remark: Since any field automorphism of \bar{K} acts on $\text{Ell}(\mathcal{O})$, we actually obtain an action of $\text{Gal}(\bar{\mathbb{Q}}/\mathbb{Q})$ on $\text{Ell}(\mathcal{O})$. However, this action does not commute with the one of $\text{Pic}(\mathcal{O})$, since we need that $\Lambda^\sigma = \Lambda$.

The reason why we care about commutativity is the following.

If we fix $E \in \text{Ell}(\mathcal{O})$, then for every $\sigma \in \text{Gal}(\bar{K}/K)$ there is some $\eta_E(\sigma) \in \text{Pic}(\mathcal{O})$ such that $E^\sigma = \text{Hom}(\eta_E(\sigma), E)$.

Lemma 14: $\eta_E(\sigma)$ does not depend on E .

Proof: Let $F \in \text{Ell}(\mathcal{O})$ and take $\tau \in \text{Gal}(\bar{K}/K)$ so that $F = E^\tau$. Then

$$F^\sigma = (E^\tau)^\sigma = (\eta_E(\tau) \cdot E)^\sigma = \underbrace{\eta_E(\tau) \cdot E^\sigma}_{\text{commutativity of the two actions}} = \eta_E(\tau) \eta_E(\sigma) \cdot E = \underbrace{\eta_E(\sigma) \eta_E(\tau) \cdot E}_{\text{Pic}(\mathcal{O}) \text{ is abelian}} = \eta_E(\sigma) \cdot E^\tau = \eta_E(\sigma) \cdot F \quad \square$$

It follows that we have a group homomorphism

$$\eta: \text{Gal}(\bar{K}/K) \longrightarrow \text{Pic}(\mathcal{O}) \quad \text{such that} \quad E^\sigma = \text{Hom}(\eta(\sigma), E) \quad \text{for all } E \in \text{Ell}(\mathcal{O}).$$

Since η is surjective, we have realized $\text{Pic}(\mathcal{O})$ as a finite quotient of $\text{Gal}(\bar{K}/K)$, hence there is a finite extension H of K such that $\text{Gal}(H/K) \cong \text{Pic}(\mathcal{O})$.

This extension is a finite abelian extension of K , and the j -invariants $j(E)$ of any $E \in \text{Ell}(\mathcal{O})$ lie in H .

Next, we want to apply some results of class field theory in order to better describe H .

Recall: The ring of finite adèles of K is $\mathbb{A}_{K,f} = \prod'_{p \text{ finite place}} K_p$, where K_p is the completion of K with respect to the p -adic valuation and \prod' denotes the restricted product with respect to $\{\mathcal{O}_{K_p}\}_p$ (i.e. $(x_p)_p \in \mathbb{A}_{K,f}$ if and only if $x_p \in \mathcal{O}_{K_p}$ for all but finitely many primes p of \mathcal{O}). $\mathbb{A}_{K,f}$ can be turned into a topological ring with the restricted product topology.

Finite idèles of K : $\mathbb{I}_{K,f} = \mathbb{A}_{K,f}^\times$ with the restricted product topology.

We have diagonal embeddings $K \hookrightarrow \mathbb{A}_{K,f}$, $K^\times \hookrightarrow \mathbb{I}_{K,f}$.

Given an order \mathcal{O} in K , we denote by $\hat{\mathcal{O}}$ its closure in $\mathbb{A}_{K,f}$.

Lemma 15: The map $\mathbb{I}_{K,f} / K^\times \hat{\mathcal{O}}^\times \longrightarrow \text{Pic}(\mathcal{O})$ is an isomorphism.

$$\alpha \longmapsto (\alpha^{-1} \hat{\mathcal{O}}) \cap K (= \mathcal{O})$$

An application of the fundamental theorem of class field theory yields:

Theorem 16: There exists an abelian extension H_f of K with the following properties:

- 1) H_f is unramified outside of the primes of K above f ;
- 2) Let p be a prime of K coprime to f , $\pi_p \in K_p$ a uniformizer and σ_p the Frobenius element of $\text{Gal}(H_f/K)$ at p . Then the map

$$\text{rec}: \text{Pic}(\mathcal{O}) \cong \mathbb{I}_{K,f} / K^\times \hat{\mathcal{O}}^\times \longrightarrow \text{Gal}(H_f/K), \quad [f] := (\dots, 1, \pi_p, 1, \dots) \mapsto \sigma_p^{-1}$$

is an isomorphism.

The field H_f in Theorem 16 is called the "ring class field of K of conductor f ".

The morphism $\text{rec}: \text{Pic}(\mathcal{O}) \xrightarrow{\sim} \text{Gal}(H_f/K)$ is the "Artin reciprocity map".

Proposition 17: The abelian extension H equals H_f .

In particular, for all primes \mathfrak{p} of K coprime to f we have $\eta(\sigma_{\mathfrak{p}}) = [\mathfrak{p}] \in \text{Pic}(\mathcal{O})$

Proof: Let $E \in \text{Ell}(\mathcal{O})$. Let Σ be the set of primes \mathfrak{p} of \mathcal{O}_K which satisfy the following conditions:

- 1) \mathfrak{p} is unramified in H/K ;
- 2) E has good reduction at all the primes of H above \mathfrak{p} ;
- 3) the prime \mathfrak{p} does not divide $N_K^H(j(E_1) - j(E_2))$ for all $E_1, E_2 \in \text{Ell}(\mathcal{O}), E_1 \neq E_2$;
- 4) the norm of \mathfrak{p} is a rational prime $p \in \mathbb{Z}$, i.e. p is not inert in K/\mathbb{Q} .

The set of these primes has density one, hence the Chebotarev density theorem implies that the Frobenii $\sigma_{\mathfrak{p}}$ of the primes $\mathfrak{p} \in \Sigma$ generate $\text{Gal}(H/K)$.

Let $\mathfrak{p} \in \Sigma$ and \mathfrak{p}' be a prime of H lying above \mathfrak{p} . Let \bar{E} be the reduction of E at \mathfrak{p}' , which, by property (2), is an elliptic curve defined over a finite field F of characteristic p .

It is then possible to prove that:

- a) \bar{E} is ordinary (which means that $\bar{E}[p^r] = \mathbb{Z}/p^r\mathbb{Z}$ for all $r \geq 1$ or, equivalently, $\text{End}(\bar{E})$ is an order in a quadratic imaginary field).

In particular, the Frobenius morphism $\text{Frob}: \bar{E} \rightarrow \bar{E}^{(p)}$ is the unique inseparable isogeny of degree p from \bar{E} .

(Here, if $\varphi \in \text{Gal}(F/\mathbb{F}_p)$ is the Frobenius, $\bar{E}^{(p)}$ is the curve $\bar{E} \rightarrow \text{Spec } F \xrightarrow{\varphi} \text{Spec } F$, and $\text{Frob}: \bar{E} \rightarrow \bar{E}^{(p)}$ is the absolute Frobenius. This means that we consider the map $\bar{E} \rightarrow \bar{E}$ which is the identity on the topological spaces and $\mathcal{O}_{\bar{E}}(U) \rightarrow \mathcal{O}_{\bar{E}}(U), x \mapsto x^p$. This map is a morphism of \mathbb{F}_p -schemes, not of F -schemes. It induces a map of F -schemes $\text{Frob}: \bar{E} \rightarrow \bar{E}^{(p)}$).

- b) the elliptic curve $E/E[\mathfrak{p}] = \text{Hom}(\mathfrak{p}, E)$ is defined over $\mathbb{Q}(j(E)) \subseteq H$ and the natural projection $E \rightarrow E/E[\mathfrak{p}]$ (i.e. $\text{Hom}(\mathcal{O}, E) \rightarrow \text{Hom}(\mathfrak{p}, E)$) becomes a purely inseparable morphism when reduced modulo \mathfrak{p}' .

By combining (a) and (b), it follows that $E \rightarrow \text{Hom}(\mathfrak{p}, E)$, when reduced modulo \mathfrak{p}' , coincides with $\text{Frob}: \bar{E} \rightarrow \bar{E}^{(p)}$. This means that $\overline{\text{Hom}(\mathfrak{p}, E)} = \bar{E}^{(p)}$. Notice that $\bar{E}^{(p)}$ coincides with $\overline{(E^{\sigma_{\mathfrak{p}}})}$.

By the property (3), $j(E_1) \not\equiv j(E_2) \pmod{p}$ for all distinct $E_1, E_2 \in \text{Ell}(\mathcal{O})$.

This means that the elliptic curves with complex multiplication by \mathcal{O} remain distinct when reduced modulo \mathfrak{p}' . Two of these curves, namely $E^{\sigma_{\mathfrak{p}'}}$ and $\text{Hom}(\mathfrak{p}', E)$, become equal when reduced modulo \mathfrak{p}' , hence $E^{\sigma_{\mathfrak{p}'}} = \text{Hom}(\mathfrak{p}', E)$ already. As a result, $\eta(\sigma_{\mathfrak{p}'}) = [\mathfrak{p}']$.

This holds for a set of primes whose Frobenii generate the whole $\text{Gal}(H/K)$ by the Chebotarev density theorem, so we may conclude that $H = H_f$ \square

Let us summarize what we have seen so far.

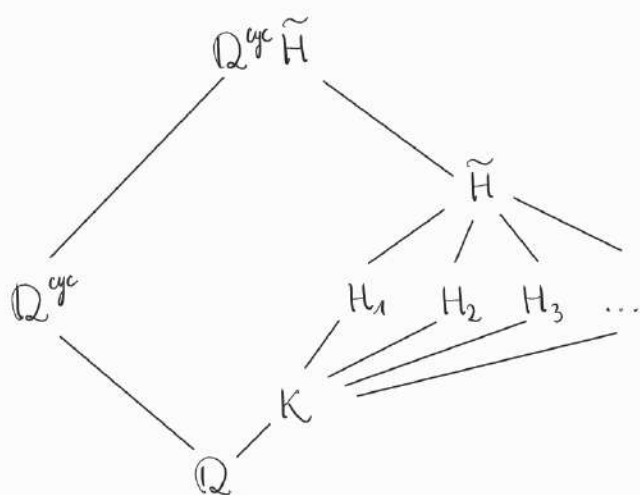
Given a quadratic imaginary field K , one can construct the Hilbert class field H_1 of K (the maximal abelian unramified extension of K). The previous result tells us that $H_1 = K(j(E))$ where E is any elliptic curve with complex multiplication by \mathcal{O}_K . Next, we can allow some ramification by considering the Hilbert class field H_f of conductor f , which we have seen that it is generated by the j -invariant of an elliptic curve with complex multiplication by \mathcal{O} with $[\mathcal{O}_K : \mathcal{O}] = f$. These are all abelian extensions of K , so their compositum \tilde{H} is an abelian extension of K as well. Let \mathbb{Q}^{cyc} be the cyclotomic extension of \mathbb{Q} .

Question: is it true that $\tilde{H} \cdot \mathbb{Q}^{\text{cyc}}$ is the maximal abelian extension of K ?

Theorem 18: $\text{Gal}(K^{\text{ab}} / \tilde{H} \cdot \mathbb{Q}^{\text{cyc}})$ is a product of groups of order 2.

This follows from class field theory. Thus, adjoining roots of unity and all the j -invariants above is not enough to construct K^{ab} .

The picture at the moment is the following:



Now, let E be an elliptic curve with complex multiplication by \mathcal{O}_K . We have seen that $j(E) \in H_1$, so we may assume that E is defined over H_1 . Let L_E be the extension of H_1 generated by the coordinates of the torsion points of E .

- 1) $\text{Gal}(L_E/H_1) \hookrightarrow U(K) = \prod_{\mathfrak{p} \text{ finite}} \mathcal{O}_{K_{\mathfrak{p}}}^{\times}$.
- 2) By class field theory, L_E corresponds to a group homomorphism $\mathcal{V}_E: I_{H_1, \mathfrak{f}} \rightarrow U(K)$.
- 3) Let U be the group of integral units of K (i.e. $U = \mathcal{O}_K^{\times}$, which corresponds to $\text{Aut}(E)$ under $\text{End}(E) \cong \mathcal{O}_K$). Notice that $U = \{\pm 1\}$ if $K \neq \mathbb{Q}(i), \mathbb{Q}(\sqrt{-3})$, otherwise $U = \{\pm 1, \pm i\}$ and $U = \{6^{\text{th}} \text{ roots of unity}\}$.
- 4) $U(H_1) \hookrightarrow I_{H_1, \mathfrak{f}}$, and the restriction of \mathcal{V}_E to $U(H_1)$ takes the form $\mathcal{V}_E(x) = N_K^{H_1}(x^{-1}) \rho_E(x)$ for $x \in U(H_1)$, where $\rho_E: U(H_1) \rightarrow U$.
- 5) L_E and ρ_E depend on E ; to get rid of this, let $X = E/U \cong \mathbb{P}^1$ and let L be the extension of H_1 generated by the coordinates of the images in X of the torsion points of E under $E \rightarrow E/U \cong \mathbb{P}^1$. Then L does not depend on E in $\text{Ell}(\mathcal{O}_K)$.

Theorem 19: L is the maximal abelian extension of K .

Remark: Explicitly in coordinates, if $K \neq \mathbb{Q}(i), \mathbb{Q}(\sqrt{-3})$, then $U = \{\pm 1\}$ and the map $h: E(\mathbb{C}) \rightarrow E/U(\mathbb{C})$ is given by $(x, y) \mapsto x$.

Suppose K has class number 1. Then:

- 1) $H_1 = K$, and $j(E) \in \mathbb{Q}$.
- 2) $\#\text{Ell}(\mathcal{O}_K) = 1$, hence $L_E = L$.
- 3) $K(h(E_{\text{tors}})) = K(E_{\text{tors}})$.